

# 上皮间质转化在特发性肺纤维化及其信号通路中的研究进展

邓玲玲<sup>1,2</sup> 欧阳博书<sup>1,2</sup> 魏颖<sup>1,2</sup> 董竞成<sup>1,2△</sup>

(<sup>1</sup>复旦大学附属华山医院中西医结合科 上海 200040; <sup>2</sup>复旦大学中西医结合研究院 上海 200040)

**【摘要】** 特发性肺纤维化(idiopathic pulmonary fibrosis, IPF)是一种慢性致死性疾病,其发病机制尚未完全阐明。上皮间质转化(epithelial-mesenchymal transition, EMT)是分化的肺泡上皮细胞失去上皮特征同时获得间充质细胞形态以及迁移性的生物学过程。阻断TGF- $\beta$ -Smad、Wnt/ $\beta$ -catenin、Hippo等介导的信号转导,有利于抑制EMT,进而有助于抑制IPF进展。本文总结了EMT相关信号转导通路在IPF中的研究进展,为临床使用靶向EMT的药物诊疗肺纤维化患者提供一定的参考和依据。

**【关键词】** 特发性肺纤维化(IPF); 上皮间质转化(EMT); 信号转导; TGF- $\beta$ -Smad

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## Research progress of epithelial-mesenchymal transition on idiopathic pulmonary fibrosis and signaling pathway

DENG Ling-ling<sup>1,2</sup>, OUYANG Bo-shu<sup>1,2</sup>, WEI Ying<sup>1,2</sup>, DONG Jing-cheng<sup>1,2△</sup>

(<sup>1</sup>Department of Integrative Medicine, Huashan Hospital, Fudan University, Shanghai 200040, China;

<sup>2</sup>Institutes of Integrative Medicine, Fudan University, Shanghai 200040, China)

**【Abstract】** Idiopathic pulmonary fibrosis (IPF) is a chronic fatal disease and its pathogenesis remains unclear. Epithelial-mesenchymal transition (EMT) is a biological process in which differentiated alveolar epithelial cells lose epithelial characteristics and obtain mesenchymal cell morphology and migration, which is a transient and reversible process. Blocking the signal transduction mediated by TGF- $\beta$ -Smad, Wnt/ $\beta$ -catenin, Hippo would be beneficial to the inhibition of EMT, thus help inhibit the progression of IPF. The authors summarized the research progress of signal transduction pathways related to epithelial-mesenchymal transition in IPF, which would provide scientific basis for the preclinical use of drugs targeting EMT as a therapeutic strategy for pulmonary fibrosis patients.

**【Key words】** idiopathic pulmonary fibrosis (IPF); epithelial-mesenchymal transition (EMT); signal transduction; TGF- $\beta$ -Smad

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特发性肺纤维化(idiopathic pulmonary fibrosis, IPF)是一种病因未明,成纤维细胞向肌成纤维细胞分化以及肺组织中细胞外基质过度积累的慢性进

展性间质性纤维化肺炎,好发于60~70岁的老年人,是临床上最常见的间质型肺炎类型<sup>[1]</sup>,预后较差,中位生存期多为3~5年,生存率甚至低于肺

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<sup>△</sup>Corresponding author E-mail: jcdong2004@126.com

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癌<sup>[2]</sup>。目前IPF的治疗药物为2015年美国FDA批准的吡菲尼酮和尼达尼布,仅能改善患者症状,提高用力肺活量(forced vital capacity, FVC),不能延缓纤维化进展。越来越多的证据支持肺泡上皮细胞间质转化(epithelial-mesenchymal transformation, EMT)在IPF发病机制中的作用,本文对特发性肺纤维化中EMT相关的主要信号转导通路研究进展作一综述。

**EMT定义** EMT是一种上皮细胞去分化为可分泌细胞外基质(extracellular matrix, ECM)的间充质细胞,使纤维化病情长期存在,导致肺组织硬度增加<sup>[3]</sup>,上皮细胞失去顶端基底极性,减少细胞间黏附特性,获得间质标记物如 $\alpha$ -平滑肌肌动蛋白( $\alpha$ -smooth muscle actin,  $\alpha$ -SMA), N钙黏蛋白(N-cadherin),波形蛋白(vimentin), EMT相关转录因子的表达,以及细胞骨架的重组过程<sup>[4-5]</sup>。EMT有3种不同的功能类型: I型与胚胎发育过程中组织和器官形成的生理过程有关; II型为正常的伤口愈合,在IPF中表现为肺部组织过度修复; III型是指恶性上皮细胞获得迁移性表型,与肿瘤的侵袭和转移有关<sup>[5]</sup>。

**EMT在肺纤维化中的作用** IPF的组织病理学特征表现为肺基底部和侧部的进行性瘢痕形成且呈不均匀性分布<sup>[6]</sup>。这些瘢痕区域的显著标志是存在成纤维细胞簇(fibroblast foci, FF)——产生ECM的成纤维细胞/肌成纤维细胞的集合<sup>[6]</sup>,位于正常和纤维化组织的边界处,代表组织重塑或瘢痕增生的前沿<sup>[7]</sup>。多年来,关于IPF成纤维细胞簇中成纤维细胞/肌成纤维细胞的来源一直存在争议,大部分学者认为其主要来源于常驻组织成纤维细胞、骨髓源性祖细胞(所谓的纤维母细胞)和EMT来源的肺泡II型上皮细胞(AT II)<sup>[8]</sup>。在肺纤维化小鼠模型中进行的体内谱系追踪实验已证明,表达间充质标志物的细胞具有上皮来源特性<sup>[9]</sup>。来源于EMT的成纤维细胞和肌成纤维细胞分泌胞外基质导致肺纤维化的进展,在肺纤维化的病理生理过程中发挥着关键作用<sup>[10]</sup>。因此,阐明EMT相关信号通路,研究靶向EMT的药物可能是治疗肺纤维化的主要途径。

**EMT信号的激活** 组织微环境可以决定细胞是否发生EMT<sup>[11]</sup>。在慢性病中,损伤部位的微环境表现出乏氧、慢性炎症、氧化应激、细胞因子分泌紊乱、胞外基质硬度增加等作为EMT潜在的触发

因素。近年来内质网应激(蛋白质异常折叠)在肺纤维化的机制中研究紧密<sup>[12-13]</sup>。内质网应激是指细胞受到各种刺激导致胞内未折叠蛋白发生或折叠错误的蛋白质在内质网腔的积累,引发下游信号通路的激活,导致上皮细胞功能异常和肺纤维化<sup>[14-16]</sup>。研究表示过表达突变体的肺表面活性蛋白C(surfactant, pulmonary-associated protein C, SP-C)会触发内质网应激和EMT<sup>[17]</sup>,内质网跨膜蛋白需肌醇酶1(inositol-requiring protein-1, IRE1)/X盒结合蛋白1(X box-binding protein 1, XBP1)信号通路可通过介导转录因子snail的表达促进EMT的进展,从而引起纤维变性<sup>[18]</sup>。此外,越来越多的证据支持胞外基质的硬度增加不仅是纤维化的结果,而且可以诱导成纤维细胞的激活,正反馈加重纤维化的程度<sup>[19]</sup>。近期,唐楠团队验证了持续的胞外机械张力升高可以激活AT II细胞中的TGF- $\beta$ 信号环路,从而驱动了肺纤维化病理从周围到中央的进展变化,高度模拟人体肺纤维化的病理特征,确立了肺泡再生受损、机械张力下降和进行性肺纤维化之间的直接机制联系<sup>[20]</sup>。未来以细胞外基质为信号激活起始的新视角可能会极大地促进我们对IPF和其他纤维化疾病的理解。

**EMT相关的信号通路** 研究显示,调控EMT的信号转导通路主要包括TGF- $\beta$ -Smad、Wnt/ $\beta$ -catenin、Hippo、Notch、NF- $\kappa$ B通路等(图1),下面以前三个为例详细介绍。

**TGF- $\beta$ -Smad通路** 转化生长因子 $\beta$ (transforming growth factor-beta, TGF- $\beta$ )是驱动EMT的关键生长因子。TGF- $\beta$ 家族包括3个转化生长因子 $\beta$ 、2个激活素(activins)、多种骨形态发生蛋白(bone morphogenetic protein, BMPs)和其他配体的同二聚体和异二聚体,它们都通过跨膜双特异性激酶受体(即充当Ser/Thr/Tyr激酶的受体)的二元组合起作用<sup>[21]</sup>。肺泡上皮细胞通过整合素 $\alpha$ v $\beta$ 6结合潜伏的TGF<sup>[22]</sup>,活化TGF $\beta$ 1,触发信号蛋白Smad2/3的磷酸化,与Smad4形成复合物并易位入核<sup>[23]</sup>,结合转录因子SNAI1、SNAI2、ZEB、TWIST1等,并激活间充质基因的转录,例如 $\alpha$ -SMA、N-cadherin、纤连蛋白和波形蛋白基因,促进上皮细胞重编程,朝着更具迁移性和间充质性的表型发展<sup>[4,24]</sup>。由上皮细胞产生的TGF- $\beta$ 1在肺纤维化中起主要作用,敲除TGF- $\beta$ 1可以减轻肺纤维化<sup>[25]</sup>。此外,TGF家族成员BMP-7可直接抑制TGF- $\beta$ 诱

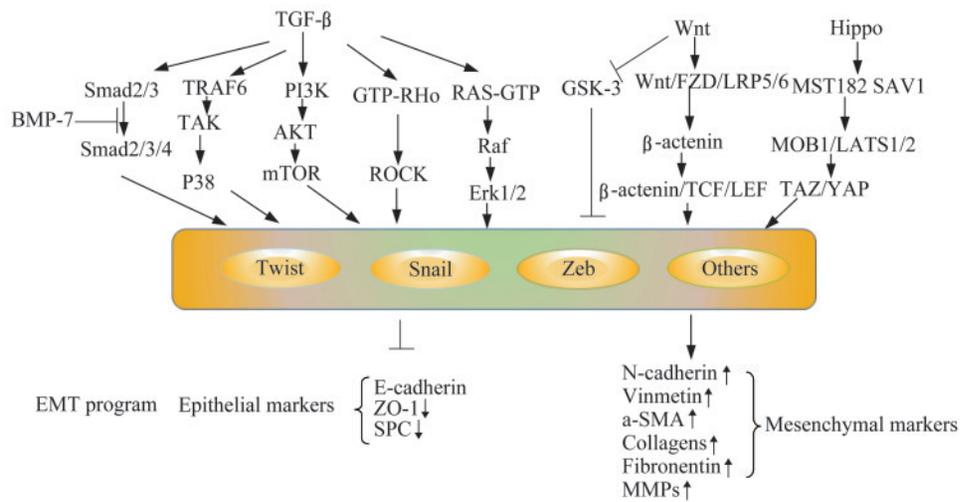


图1 EMT主要信号通路流程图

Fig 1 Flow chart of EMT related major signaling pathways

导的 Smad 依赖性细胞信号转导复合体在细胞核内的聚集和移动来逆转 EMT 过程<sup>[26-27]</sup>。吡菲尼酮作为治疗肺纤维化的临床用药,主要通过抑制 TGF- $\beta$ -smad 通路发挥作用<sup>[28]</sup>。

**TGF- $\beta$ -nonSmad 通路** 除了通过 Smads 发出信号外, TGF- $\beta$  也可通过募集胞内信号介质如丝裂原活化蛋白激酶 P38/MAPK/Erk、PI3K/AKT/mTOR 和 Rho 家族的小 GTPases 介导信号级联传递, 参与细胞增殖, 分化和骨架重排<sup>[29-31]</sup>。在 EMT 早期, E3 泛素连接酶 SMAD 泛素化调节因子 1 (SMAD ubiquitylation regulatory factor 1, SMURF1) 被招募至 PAR6, 作为紧密连接的促溶剂, 经 TGF- $\beta$  RII 磷酸化, 分解细胞骨架蛋白, 促进细胞的迁移性表型获得<sup>[32]</sup>。许多证据表明, PI3K/Akt/mTOR 轴在 TGF- $\beta$  诱导的 EMT 中起关键作用<sup>[33]</sup>。研究显示 mTORC1 促进细胞运动性和侵袭性并调节细胞体积大小。而 mTORC2 可调节 EMT 相关的细胞骨架变化和基因表达<sup>[34]</sup>。使用 AKT 的抑制剂会增加 E-钙黏蛋白的表达水平, 减弱对 Snail、波形蛋白 (vimentin, VIM) 和  $\alpha$ -SMA 的表达<sup>[35-36]</sup>, 替卡格雷可以通过介导 PI3K 通路抑制 EMT 进展, 降低博来霉素诱导的大鼠肺纤维化<sup>[35]</sup>。

**Wnt/ $\beta$ -catenin 通路** 在肺纤维化中起作用的为经典 Wnt/ $\beta$ -catenin 通路, 在共受体低密度脂蛋白相关蛋白 (lipoprotein-related protein, Lrp) 5/6 的影响下, Wnt 结合细胞表面受体 Fzd, 并与之形成三元复合物<sup>[37]</sup>, 激活脱链蛋白 (disheled protein, Dvl), 抑制 GSK-3 $\beta$ 、 $\beta$ -catenin 发生磷酸化降解, 使得  $\beta$ -catenin 在

细胞质中积累, 随后进入细胞核, 与 TCF/LEF 基因家族的转录子结合, 激活目标基因, 促进细胞外基质金属蛋白酶 (matrix metalloproteinase, MMPs)、促炎症介质、生长因子等的表达<sup>[38]</sup>。在 IPF 患者肺组织活检样本中发现 ATII 中 Wnt 3A、WISP1 表达上调, 且在模型小鼠体内使用 WISP1 特异性的中和抗体可减少细胞外胶原沉积, 改善肺功能, 下调与 EMT 相关基因表达<sup>[39]</sup>。此外, 使用特异性抑制  $\beta$ -catenin 信号转导的小分子 ICG-001 可减轻博来霉素诱导的肺纤维化和 EMT<sup>[40]</sup>。

**Hippo 通路** Hippo 信号通路最早由果蝇遗传筛选实验发现<sup>[41]</sup>, 当 Hippo 信号通路激活时, 下游的 MOB1 和 LATS1/2 在 MST1/2 和 SAV1 的共同作用下发生磷酸化, 从而激活 MOB1 和 LATS1/2, 磷酸化下游 YES 相关蛋白 (yes-associated protein, YAP) 和 PDZ 结合基序 (tafnzzin, TAZ), 磷酸化的 YAP/TAZ 与细胞质中的 14-3-3 蛋白结合, 随后被  $\beta$ -转导素重复序列包含蛋白 ( $\beta$ -transducing repeat-containing proteins,  $\beta$ -TrCP) 泛素化降解, 从而实现细胞大小和体积的调控<sup>[42-43]</sup>。当 Hippo 信号通路受到抑制时, YAP/TAZ 不能被泛素化降解, 发生入核并与核内其他转录因子 TEAD 结合, 调节下游靶基因的表达<sup>[41]</sup>。Hippo 通路的核心转录效应子 YAP/TAZ 是参与成纤维细胞活化和胞外基质形成的关键调节因子, 其活性反映了细胞黏附和对胞外基质机械信号刺激的反应能力, 敲除 YAP/TAZ 可以减少成纤维细胞的收缩、增殖以及胞外基质的合成<sup>[44]</sup>。最近一项研究发现骨髓间充质干细胞

(mesenchymal stem cell, MSCs)内 Snail/Slug-可与 YAP/TAZ 形成复合体,参与 MSCs 的自我更新和分化<sup>[45]</sup>,且在肾纤维化、心脏纤维化中观察到活化的 YAP 直接与 TEAD 结合形成 YAP-TEAD 异二聚体,介导 EMT 的发生发展<sup>[46-47]</sup>。IPF 患者上皮细胞免疫荧光也显示核 YAP 的上调以及 MST1/2 表达的缺失<sup>[48]</sup>,YAP/TAZ 通路作为触发肺纤维化 EMT 可能的作用靶点当进一步深入研究。

**靶向 EMT 的中药** IPF 是一种难以诊断的致命性间质性肺病,中药治疗肺纤维化逐渐被大家所认识。骨化三醇可抑制 BLM 诱导的肺 p38 MAPK 和蛋白激酶 B(Akt)的磷酸化,从而减弱 EMT<sup>[49]</sup>。白藜芦醇可通过抑制氧化应激和 TGF- $\beta$ 1/Smad 信号通路改善 LPS 诱导的 EMT 和肺纤维化<sup>[50]</sup>。玉屏风散中提取的天然化合物玉屏风总糖苷 YPF-G 可以通过减少 HMGB1 激活和逆转 EMT 改善博来霉素诱导的肺纤维化<sup>[51]</sup>。芍药苷依赖 Smad 途径,上调 Smad7,降低转录因子 Snail 的表达,抑制肺泡上皮细胞中 TGF- $\beta$ 引起的早期 EMT<sup>[52]</sup>。黄芪甲苷通过抑制 NLRP3 的表达降低肺纤维化上皮细胞间充质分化<sup>[53]</sup>。穿心莲内酯<sup>[54]</sup>、高良姜素<sup>[55]</sup>、槲皮素<sup>[56]</sup>、姜黄素<sup>[54,57]</sup>、灯盏乙素<sup>[58]</sup>等多项研究均显示中药活性单体可通过调控 EMT,改善体内肺纤维化。

**结语** 共表达上皮和间充质标志物细胞的存在表明了上皮细胞不一定是向产生 ECM 的肌成纤维细胞的完全转化,可能存在细胞的不完全转化,存在杂合 E/M 表型<sup>[59]</sup>。上皮细胞的可塑性应答,可视为细胞和细胞之间以及信号通路串扰的结果。除上文提及的通路,Notch<sup>[60]</sup>,NF- $\kappa$ B<sup>[59]</sup>、Sonic Hedgehog (SHH) 信号通路<sup>[61]</sup>、缺氧诱导因子 1 $\alpha$  (Hypoxia-inducible factors 1 $\alpha$ , HIF-1 $\alpha$ )<sup>[62]</sup>、过氧化物酶体增殖物激活受体- $\gamma$  (peroxisome proliferator-activated receptor, PPAR)<sup>[63]</sup>, 调控 miRNAs 的上皮剪接调节蛋白 1/2 (Epithelial splicing regulatory protein 1, ESRP1/ESRP2) 相关的信号转导通路等<sup>[64-65]</sup>,都可不同程度上参与肺纤维化 EMT 调节过程。总的来说,促进 EMT 发生发展的最主要的通路仍是 TGF- $\beta$ -Smads 通路,但其余核心通路分子蛋白与 TGF- $\beta$ -Smads 信号转导间的相互串扰,营造了促纤维化的微环境,触发或增强了 EMT,可成为下一步深入探索的方向。随着基础生物学的进展和新技术(如活体内成像技术,透

明化技术,空间转录学等)的出现,以通路分子为靶点的治疗将取得丰硕的治疗成果。

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